

All Member Call Agenda June 11, 2020

- **Welcome & Overview**, Kathryn Brod
- **Infection Control Surveys**, Stephanie DeWees
 - Offsite vs. Onsite
 - Policy Considerations (Importance of E24)
 - Record Reviews
 - Observation and Interview of Residents and Staff
- **Additional Infection Control Oversight**
- **Reopening and Nursing Facility Visitation**, Stephanie DeWees
- **Open Question & Answer**

Email questions to COVID19@leadingageohio.org



Focused Infection Control Survey

- Offsite vs. onsite considerations
- Policies (especially the importance of E24)
- Record reviews
- Observations
- Interviews of both residents and staff
- Q&A related to the surveys
- All questions welcome!



Focused Infection Control Surveys

- QSO Memo 20-20-All Prioritization of Surveys
- Compliance F880, F884, F885, E0024
- ODH has completed 317 Focused IC Surveys
- 493 are in process
- 138 to be scheduled within the next week or so.
- ODH anticipates completion by end of June, meeting the July 31 deadline outlined in QSO-20-31-ALL



Offsite & Onsite

Off site	Onsite
SA conducts offsite planning	Approximately an hour long
Medical record review	
Surveillance policies	Surveyor protocols
Policy/Procedure Review	
Infect. Control Plan	Resident Care Observations:
Emergency Preparedness Plan	
Contingency staffing strategies	Environmental observations:
Review communications	
Survey exit	Interviews with relevant staff:
Interviews	
Staff education	Immediate jeopardy (IJ) situations.
Standard Survey Info (672	



Policies

- COVID-19 Specific
- Placement
- Emergency Preparedness E-24
- When to notify local health department
- Infection Surveillance (temp every shift)
- Protocol for testing
- Transfer protocol



Observations

- Hand hygiene practices – ABHR
- Cough etiquette
- Proper use/discarding of PPE
- Cleaning – environment & medical equipment
- Signage at entrances and resident rooms
- Screening
- Effective Transmission-Based Precautions



Record Review

Resident Record

- New admit, dialysis, non-COVID resp infection
- Placement - did you do what your policy says
- Dialysis – protocol for leaving the building
- Physician & family notification of changes
- Prompt identification and response



Interviews

- Staff
 - DON, 2 nurses, 2 STNA's, 3 residents
- Policy/Procedure knowledge
- Surveillance for sign/symptoms
- Notifying local health officials
- Communications about COVID-19 activity in the facility



Facility Self-Assessment – Focused Survey Tool QSO-20-20-All

Priority areas for self- assessment include all of the following:

- 1. Standard & Transmission-based Precautions;
- 2. Resident care (including resident placement);
- 3. Infection prevention and control standards, policies and procedures;
- 4. Infection surveillance;
- 5. Visitor entry;
- 6. Education, monitoring, and screening of staff;
- 7. Communications on COVID-19 activity and mitigating actions taken;
- 8. Reporting to CDC's National Healthcare Safety Network;
- 9. Emergency preparedness – staffing emergency

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COVID-19 Survey Activities, CARES Act Funding, Enhanced Enforcement for Infection Control deficiencies, and Quality Improvement Activities in Nursing Homes

- 1. Previous COVID-19 outbreaks, defined as: 10% or greater; or 20% or greater confirmed plus suspected; or Ten or more deaths reported due to COVID-19.
- 2. NHSN - New COVID-19 suspected and confirmed cases (3 or more), or 1 confirmed resident case in a facility that was previously COVID-free.
- 3. Starting in FY 2021, annual Focused Infection Control surveys of 20 percent of nursing homes based on State discretion or additional data that identifies facility and community risks.

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Ohio's Data Infection Control Citations

Total number of times infection control cited

Cycle 1 =445, Cycle 2 = 403, Cycle 3 = 314

Individual SNF's receiving Infection Control citations

Ohio Cycle 1 = 393, Cycle 2 = 372, Cycle 3 = 287



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Additional COVID Activities

CARES Act funds may also be used for State-specific interventions (such as Strike Teams, enhanced surveillance, or monitoring of nursing homes). In addition, in August 2020, State Survey Agency priorities may also be informed by recommendations from the Coronavirus Commission for Safety and Quality in Nursing Homes.

Phase 3 reopening – prioritized surveys: • Abuse or neglect; • Infection control; • Violations of transfer or discharge requirements; • Insufficient staffing or competency; or • Other quality of care issues (e.g., falls, pressure ulcers, etc.).



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Infection Control Citation History

Directed Plan of Correction (Scope & Severity D, E),
Discretionary Denial of Payment for New Admissions
with 45-days to demonstrate compliance with
Infection Control deficiencies (Scope & Severity F)

CMP up to \$5000 or \$10,000

30-days and CMP up to \$15,000 to \$20,000

Harm & Immediate Jeopardy - additional



Infection Control Focus

QIO Program

- Rural, small, poor performers
- Weekly education

QSO-20-32-NH

- Weekly data through Sunday midnight
- Delay of 11 days
- View your data weekly



Infection Control Assessment & Response (ICAR)

Ohio has completed 182

Areas assessed include:

- Visitor restriction
- Education, monitoring, and screening of healthcare personnel & residents
- Ensuring availability of PPE and other supplies
- Ensuring adherence to recommended infection prevention and control (IPC) practices
- Communicating with the health department and other healthcare facilities

<https://www.cdc.gov/coronavirus/2019-ncov/hcp/assessment-tool-for-nursing-homes.html>



Reopening and Nursing Facility Visitation

- RCF outdoor visitation
- Lessons learned to apply to NF's
- Advocacy

Open Questions & Answer

