All Member Call Agenda  
June 11, 2020

• **Welcome & Overview**, Kathryn Brod
• **Infection Control Surveys**, Stephanie DeWees  
  • Offsite vs. Onsite  
  • Policy Considerations (Importance of E24)  
  • Record Reviews  
  • Observation and Interview of Residents and Staff

• **Additional Infection Control Oversight**
• **Reopening and Nursing Facility Visitation**,  
  Stephanie DeWees
• **Open Question & Answer**  
  Email questions to COVID19@leadingageohio.org

Focused Infection Control Survey

• Offsite vs. onsite considerations  
• Policies (especially the importance of E24)  
• Record reviews  
• Observations  
• Interviews of both residents and staff  
• Q&A related to the surveys  
• All questions welcome!
Focused Infection Control Surveys

- QSO Memo 20-20-All Prioritization of Surveys
- Compliance F880, F884, F885, E0024
- ODH has completed 317 Focused IC Surveys
- 493 are in process
- 138 to be scheduled within the next week or so.
- ODH anticipates completion by end of June, meeting the July 31 deadline outlined in QSO-20-31-ALL

Offsite & Onsite

<table>
<thead>
<tr>
<th>Off site</th>
<th>Onsite</th>
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<tbody>
<tr>
<td>SA conducts offsite planning</td>
<td>Approximately an hour long</td>
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<tr>
<td>Medical record review</td>
<td>Surveyor protocols</td>
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<tr>
<td>Surveillance policies</td>
<td>Resident Care Observations:</td>
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<tr>
<td>Policy/Procedure Review</td>
<td>Environmental observations:</td>
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<td>Infect. Control Plan</td>
<td>Interviews with relevant staff:</td>
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<tr>
<td>Emergency Preparedness Plan</td>
<td>Immediate jeopardy (IJ) situations.</td>
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Policies

• COVID-19 Specific
• Placement
• Emergency Preparedness E-24
• When to notify local health department
• Infection Surveillance (temp every shift)
• Protocol for testing
• Transfer protocol

Observations

• Hand hygiene practices – ABHR
• Cough etiquette
• Proper use/discarding of PPE
• Cleaning – environment & medical equipment
• Signage at entrances and resident rooms
• Screening
• Effective Transmission-Based Precautions
Record Review

Resident Record
• New admit, dialysis, non-COVID resp infection
• Placement - did you do what your policy says
• Dialysis – protocol for leaving the building
• Physician & family notification of changes
• Prompt identification and response

Interviews

• Staff
  • DON, 2 nurses, 2 STNA’s, 3 residents
• Policy/Procedure knowledge
• Surveillance for sign/symptoms
• Notifying local health officials
• Communications about COVID-19 activity in the facility
Facility Self-Assessment – Focused Survey Tool QSO-20-20-All

Priority areas for self-assessment include all of the following:
• 1. Standard & Transmission-based Precautions;
• 2. Resident care (including resident placement);
• 3. Infection prevention and control standards, policies and procedures;
• 4. Infection surveillance;
• 5. Visitor entry;
• 6. Education, monitoring, and screening of staff;
• 7. Communications on COVID-19 activity and mitigating actions taken;
• 8. Reporting to CDC’s National Healthcare Safety Network;
• 9. Emergency preparedness – staffing emergency

QSO-20-31-All

COVID-19 Survey Activities, CARES Act Funding, Enhanced Enforcement for Infection Control deficiencies, and Quality Improvement Activities in Nursing Homes
• 1. Previous COVID-19 outbreaks, defined as: 10% or greater; or 20% or greater confirmed plus suspected; or ten or more deaths reported due to COVID-19.
• 2. NHSN - New COVID-19 suspected and confirmed cases (3 or more), or 1 confirmed resident case in a facility that was previously COVID-free.
• 3. Starting in FY 2021, annual Focused Infection Control surveys of 20 percent of nursing homes based on State discretion or additional data that identifies facility and community risks.
QSO-20-31-All

Ohio’s Data Infection Control Citations

Total number of times infection control cited
Cycle 1 = 445, Cycle 2 = 403, Cycle 3 = 314

Individual SNF’s receiving Infection Control citations
Ohio Cycle 1 = 393, Cycle 2 = 372, Cycle 3 = 287

Additional COVID Activities

CARES Act funds may also be used for State-specific interventions (such as Strike Teams, enhanced surveillance, or monitoring of nursing homes). In addition, in August 2020, State Survey Agency priorities may also be informed by recommendations from the Coronavirus Commission for Safety and Quality in Nursing Homes.

Phase 3 reopening – prioritized surveys: • Abuse or neglect; • Infection control; • Violations of transfer or discharge requirements; • Insufficient staffing or competency; or • Other quality of care issues (e.g., falls, pressure ulcers, etc.).
QSO-20-31-All

Infection Control Citation History
Directed Plan of Correction (Scope & Severity D, E), Discretionary Denial of Payment for New Admissions with 45-days to demonstrate compliance with Infection Control deficiencies (Scope & Severity F)
CMP up to $5000 or $10,000
30-days and CMP up to $15,000 to $20,000
Harm & Immediate Jeopardy - additional

Infection Control Focus

QIO Program
• Rural, small, poor performers
• Weekly education

QSO-20-32-NH
• Weekly data through Sunday midnight
• Delay of 11 days
• View your data weekly
Infection Control Assessment & Response (ICAR)
Ohio has completed 182
Areas assessed include:
• Visitor restriction
• Education, monitoring, and screening of healthcare personnel & residents
• Ensuring availability of PPE and other supplies
• Ensuring adherence to recommended infection prevention and control (IPC) practices
• Communicating with the health department and other healthcare facilities

Reopening and Nursing Facility Visitation
• RCF outdoor visitation
• Lessons learned to apply to NF’s
• Advocacy

Open Questions & Answer